

British-American Unit Trusts Company Application Form

1 Investor Details

Type of Investor

BA

Company Trust Fund Other (specify) _____

2 Details to be Provided

Registered Name: _____

Trade Name: _____

Telephone: _____ Fax: _____

E-mail: _____

Registered Address: _____

Physical Address: _____

Postal Code:

Registration No:

Company PIN No.

Preferred method of contact (Statements, newsletters, etc.) Post E-mail

3 Source of Funds

State the source from which the applicant's wealth is mainly derived:-

Profits Rental/Property Sale Sale of Shares

Dividends/Interest Maturing Investments Loan

Other (Specify) _____

4 Fund Selections

Minimum Investment Amount: Kshs. 250,000 (Lump Sum: Kshs. 150,000 and Monthly: Kshs. 25,000 p.m.)
(Per Fund)

Total Investment Amount: Kshs. _____

Name of British-American Unit Trust	Investment Amount	%
British-American Money Market Fund		
British-American Income Fund		
British-American Balanced Fund		
British-American Equity Fund		
British-American Managed Retirement Fund		
Total		100%

5 Cash Withdrawal Facility

Fund Name	Nature of Withdrawal (Interest / Other)	Frequency (Monthly, Quarterly, Semi-annually, Annually)	Withdrawal Date

Please note that a minimum balance of Kshs. 250,000 per fund is required.

All payments will be sent to your bank account. (Complete Section 8 - Bank Information). Please note that the account must be in the same name(s) as the investor(s).

6 Payment Instructions

Direct Deposit / Electronic Transfer

Please attach a completed copy of the stamped bank deposit slip to the application form or fax it through with all the relevant documents to us on (+254 (20) 2722157). Bank account information for each unit trust fund is outlined in section 7.

Cheque

Please attach the cheque and ensure it is drawn in favour of the relevant unit trust fund. (Value will only be applied after clearance).

Payment via monthly / quarterly / half-yearly / annual direct debit

Please delete whichever is not applicable and attach a copy of the stamped direct debit/standing order form, from the bank.

Telegraphic Transfer

7 Bank Account Details

(International Payments: swift code - BARCKENXBIS)

Fund	Account Number	Bank	Branch	Clearing Code
British-American Money Market Fund	077-5000295	Barclays Bank of Kenya	Barclays Plaza	03
British-American Income Fund	077-5000732	Barclays Bank of Kenya	Barclays Plaza	03
British-American Balanced Fund	077-5001100	Barclays Bank of Kenya	Barclays Plaza	03
British-American Equity Fund	077-5001348	Barclays Bank of Kenya	Barclays Plaza	03
British-American Managed Retirement Fund	077-5000848	Barclays Bank of Kenya	Barclays Plaza	03
British-American Unit Trust	077-5002743	Barclays Bank of Kenya	Barclays Plaza	03

8 Bank Information

Bank Name: _____

Branch: _____

Bank Code: _____

Account Name: _____

Account Number: _____

Account Type: _____
(Current, Savings etc.)

9 Details of Authorized Representative(s)

(i) Indicate the Applicable Category

Principal Officer Shareholder Trustee
Authorized Person Director Partner

Full Name : _____

ID/Passport No:

Date of Birth:

Telephone

Home: _____ Office: _____ Cell: _____

Fax: _____ E-mail: _____

Residential _____
Address: _____

Postal _____
Address: _____

Postal Code:

Nationality: _____

Signature: _____

(ii) Indicate the Applicable Category

Principal Officer Shareholder Trustee
Authorized Person Director Partner

Full Name : _____

ID/Passport No:

Date of Birth:

Telephone

Home: _____ Office: _____ Cell: _____

Fax: _____ E-mail: _____

Residential _____
Address: _____

Postal _____
Address: _____

Postal Code:

Nationality: _____

Signature: _____

10 General Terms and Conditions

1. Units are traded at the daily ruling prices and will be repurchased by British-American Asset Managers Limited in accordance with the Capital Markets (Collective Investment Schemes) Regulations, 2001 and on terms and conditions set out in the relevant Trust Deed.
2. Completed application forms and notification of deposits/cleared funds must be received for the investment to be executed. Subscriptions monies in cleared funds received will be carried forward and dealt with on the next Dealing Day.
3. The investor and/or his/her financial advisor shall at all times be responsible for ensuring that the unit trust and its representatives receive any instructions from the investor and/or financial advisor, whether by facsimile or mail, and that such instructions are complete and correct in all respects.
- 4.. No third-party cheques are allowed.
5. Once an account has been opened, a statement of investment will be mailed to the investor on a monthly basis.

11 Declaration

1. The information provided in this application is true, correct and accurate and that, the money used for this investment does not arise out of the proceeds of any money laundering or other illicit activities.
2. I/We confirm that, where this information is signed in a representative capacity, I/we have the necessary authority to do so.
3. I/We hereby consent to British-American Asset Managers Limited verifying the information provided with others.
4. I/We have read the prospectus for each fund selected in Section 4 and agree to the terms of each.
5. I/We confirm that my/our application to subscribe to units in the particular British-American Unit Trust Fund through this application is made on the basis and terms of the conditions contained in the prospectus.
6. I/We agree to accept the number of units bought/sold by virtue of this application and warrant that we have full power and authority to enter into and conclude this transaction.
7. I/We confirm that the units are not being acquired either directly or indirectly by or on behalf of any person restricted by law of any relevant jurisdiction from acquiring the units.
8. I/We authorize British-American Asset Managers Limited to act upon instructions by fax without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding that it shall be shown the same was not signed or sent by me/us.
9. I/We shall review all statements upon receipt at the mailing address, and will notify you immediately there is a discrepancy.
10. I consent to the disclosure of this information for compliance purposes to the companies within the British-American Group or its agents and the government.
11. **I/We have read and fully understand the funds' objectives, risk levels, income distribution and all charges as set out in the funds fact sheets and the prospectus.**

Signature of Authorized Person

Signature of Authorized Person

Name

Name

Date

Date

Name of Financial Advisor : _____
 Code : _____
 Name of Brokerage : _____
 Telephone : _____
 E-mail : _____

Signature of Financial Advisor

DDMMYYYY

Date

12 Documents Required For Official Use Only

- 1. Founding Documents
 - Companies - Certificate of Incorporation
 - Partnership - Partnership Agreement
 - Other Legal Entities - Constitution of other founding documents in terms of which legal person is created
- 2. Other Documents
 - i. Resolution/mandate authorizing the investment
 - ii. Copy of PIN Certificate of the Organisation
 - iii. Proof of Banking Details
 - Original cancelled cheque; or
 - Bank statement (not more than three months); or
 - Letter confirming bank details (certified)
 - iv. Investment Fund's cheque or Deposit slip attached and in the correct fund
- 3. Documents from Authorised Representatives
 - i. Official Kenyan identification document or, if not available passport
 - ii. Utility Bill (not more than three months old); or
 - Lease/rental agreement; or
 - Electricity account; or
 - Water Bill

Signed By: _____

Financial Planning Manager

Agency Administrator

Compliance Manager



Contact Details

British-American Asset Managers Ltd.,

Britak Centre, 8th Floor
 Junction of Mara and Ragati Roads,
 P.O. Box 30375 - 00100,
 NAIROBI, KENYA

Tel: 2710927-38, 2833000
 Mobile: 0722 200943, 0733 687083
 Fax: 2722157
 E-mail: baam@baam.co.ke
 Website: www.baam.co.ke